SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
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)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	STA	r OF C ursuant to or Section
		*	

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

iled pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

							ompany rior o						
1. Name and Addr McClellan N		Person*		2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Alignment Healthcare, Inc.</u> [ ALHC ]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
											Director	10% C	Dwner
										-	Officer (give title		(specify
(Last)	(First)	(Middle)		3. Date of Earliest Tran	saction	(Mont	h/Day/Year)			1	below)	below	)
C/O ALIGNM	ENT HEALTH	HCARE, INC	. 1	1/23/2021						1			
1100 W. TOWI	N & COUNTE	RY ROAD, S	UITE							1			
1600			4	1. If Amendment, Date	of Origi	nal Fil	ed (Month/Da	y/Year)		6. Indiv Line)	idual or Joint/Grou	p Filing (Check	Applicable
(Street)										X	Form filed by One	e Reporting Per	son
ORANGE	CA	92868									Form filed by Mo Person	re than One Re	porting
(City)	(State)	(Zip)											
		Table I - No	on-Derivativ	ve Securities Ac	quire	d, Di	sposed of	, or B	enet	ficially	Owned		
1. Title of Securit	y (Instr. 3)		2. Transaction Date (Month/Day/Yea	ar) 2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Disposed Of				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	Amount	(A) or (D)	Pric	e .	Transaction(s) (Instr. 3 and 4)		(1150.4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(o a pute calle	warrante	ontione	convortible	coouritioc)
(e.g., puts, calls	, wananis,	options,	convertible	securities

**S**<sup>(1)</sup>

7,500

D

\$20.1075

276,819

D

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date Amount of Month/Day/Year) Securities			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. Represents 7,500 shares of Common Stock sold pursuant to a registered offering which closed on November 23, 2021, at a price of \$20.1075 (net of underwriting discount). **Remarks:** 

Remarks

Common Stock

Exhibit 24.1 - Power of Attorney

<u>/s/ Richard A. Cross, as</u> <u>Attorney-in-Fact, for Mark B.</u> <u>11/24/2021</u> <u>McClellan</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

11/23/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## **POWER OF ATTORNEY**

KNOW ALL BY THESE PRESENTS, that the undersigned hereby constitutes and appoints each of (i) R. Thomas Freeman, (ii) Richard A. Cross, (iii) Christopher Johnson and (iv) Thomas E. Mitchell, signing SINGLY, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer, director or holder of 10% or more of the registered class of securities of Alignment Healthcare, Inc. (the "Company"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended, and the rules thereunder;

(2) do and perform any and all acts for and on behalf of the undersigned that may be necessary or desirable to complete and execute any such Form 3, 4 or 5, complete and execute any amendment or amendments thereto and timely file such forms or amendments with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorneyin-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

\* \* \* \* \*

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 23rd day of November 2021.

By: /s/ Mark B. McClellan Name: Mark B. McClellan

[Signature Page to Power of Attorney (Forms 3, 4 & 5)— Alignment Healthcare, Inc.]